

RECOMMENDATION TRACKING REPORT Q3 2022/23 - REC'S NOT IMPLEMENTED

| Audit Title | Date Final Issued | Date of Follow up | Assurance Rating | Recommendations Not Implemented | | | | |
|---|-------------------|-------------------|------------------|---------------------------------|-------------|-----------------------|---|--|
| | | | | Report Ref | Risk Rating | Agreed Imp. Date | Recommendation | Reason / Comments |
| Adult Family Placements | 18/10/21 | 25/10/22 | High | 2.3.1. a) | LR | Jun-22 | All electronic/physical records should be disposed of in accordance with the Council's official retention policy. | The W3 project has been delayed by Civica and is now scheduled to go live May 23. Disposal can't be looked at until after this time. |
| Housing Division (Pests & Animal Control) | 08/03/22 | 26/10/22 | Substantial | 2.5.2 | GP | Jun-22 | The monthly checks previously agreed in relation to reduced priced jobs should be reintroduced. | Unsure how checks can be carried out – to be reviewed with call centre |
| | | | | 2.11.1 | LR | Jun-22 | A formal Inventory record should be maintained in accordance with Accounting Instruction No 9. A physical check of the Inventory should then be carried out annually, by an officer who is not responsible for maintaining the Inventory, and an Inventory Certificate completed. | To be finalised for new starters as new weapons required. |
| Discretionary Payments | 07/07/22 | 31/10/22 | Substantial | 2.1.1 | LR | Aug-22 | The Discretionary Payments Policy should be regularly reviewed and updated as required. | Unfortunately this implementation date has been missed. I would like to change the date to the 31 December 2022. |
| Swansea City Bus Station | 24/05/22 | 29/11/22 | Substantial | 2.1.7 & 2.1.8 | MR | Implemented & Ongoing | Appropriate action should be undertaken to deal with the long outstanding overdue invoices. Ongoing, a monthly review of the Accounts Receivable system should be carried out to monitor unpaid invoices. | The outstanding South Wales Transport and Stagecoach invoices have now been paid. One transport operator has yet to pay its two invoices and these continue to be pursued. |
| Economic Development - Admin | 17/08/22 | 22/12/22 | Substantial | 2.4.2 | GP | Oct-22 | An annual Inventory Certificate should be completed. This is a requirement of Accounting Instruction No. 9. | All recommendations have been implemented bar the inventory certificate (GP Rec) which they are still working through and will aim to complete by 31 Jan 23 |

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Key

HR - High Risk. **MR** - Medium Risk. **LR** - Low Risk. **GP** - Good Practice.